
A. M. BEST COMPANY

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REQUESTED DATA ITEMS

AS OF 07/07

PROPERTY/CASUALTY CAPTIVE RATING INFORMATION *US, CANADA AND THE CARIBBEAN*

SECTION A

PROPERTY CASUALTY CAPTIVE RATING INFORMATION

1. **ANNUAL REPORTS – LATEST FIVE YEARS, THEN ANNUALLY; IF THE CAPTIVE HAS RECENTLY BEEN ESTABLISHED, LOSS EXPERIENCE AND PREMIUMS FROM PREVIOUS CARRIERS**
2. **AUDITED FINANCIAL STATEMENTS FOR PARENT AND SUBSIDIARY COMPANIES (ANNUALLY)**
3. **ACTUARIAL REPORTS, BOTH INTERNAL AND EXTERNAL, WHEN AVAILABLE (ANNUALLY)**
4. **CORPORATE STRUCTURE / ORGANIZATIONAL CHART AND HISTORY (INITIALLY AND AS CHANGED)**
5. **MANAGEMENT STRUCTURE AND KEY EXECUTIVE COMMITTEES (INITIALLY AND AS CHANGED)**
6. **BIOGRAPHICAL INFORMATION ON PRINCIPAL OFFICERS (INITIALLY AND AS CHANGED)**
7. **CURRENT INSURANCE STRUCTURE-RETENTION, PRIMARY AND EXCESS LAYERS, AGGREGATES**
8. **OPERATING PLAN / 5 YEAR PROJECTIONS (ANNUALLY AS CHANGED)**
9. **CAPITAL MANAGEMENT STRATEGIES**
10. **RISK MANAGEMENT PROGRAM**
11. **INVESTMENT GUIDELINES / STRATEGIES**
12. **REINSURANCE PROGRAM / REINSURANCE CONTRACTS**
13. **COMPLETED BEST'S SUPPLEMENTAL RATING QUESTIONNAIRE (ANNUALLY)**
14. **FRONTING INFORMATION-COPY OF FRONTING POLICY / CONTRACT INCLUDING:**

- **NAME OF FRONTING COMPANY, LENGTH OF POLICY, POLICY RENEWAL DETAILS, LIMITS, TERMS AND CONDITIONS, EXCLUSIONS, WHAT HAZARDS ARE COVERED, ETC.**
 - **COLLATERAL OR SECURITY REQUIREMENTS OF THE FRONT AND HOW THEY ARE BEING MET BY THE CAPTIVE**
15. **ANY OTHER REASONABLE INFORMATION REQUESTED BY A.M. BEST COMPANY, INCLUDING BUT NOT LIMITED TO:**
- **ESTIMATED IMPACT (NET AND GROSS) FROM CATASTROPHE OR OTHER UNUSUAL EVENT**
 - **DETAILS OF CHANGES IN OWNERSHIP, MANAGEMENT, PRODUCTS, OR OPERATIONS**
 - **REVISED PROJECTION OF YEAR-END RESULTS**
 - **PLANS TO MITIGATE LOSSES AND / OR CORRECT AN IDENTIFIED PROBLEM**

SECTION B

PARENT HOSPITAL RATING INFORMATION

- 1. MOST RECENTLY PUBLISHED APOLLO REPORT RECEIVED BY NEW JERSEY HCFFA (NJ HOSPITALS ONLY)**
- 2. ANNUAL AUDITED FINANCIAL STATEMENTS SINCE 2003**
- 3. STATISTICS (PAST 3 YEARS AND CURRENT YEAR BUDGET)**
 - TOTAL INPATIENT ADMISSIONS INCLUDING AND EXCLUDING NEWBORNS**
 - TOTAL INPATIENT DAYS INCLUDING AND EXCLUDING NEWBORNS**
 - TOTAL OUTPATIENT VISITS**
 - TOTAL ER VISITS**
 - LICENSED / MAINTAINED BEDS**
 - PERCENT OF OCCUPANCY**
 - AVERAGE LENGTH OF STAY**
- 4. CONSOLIDATED FORECAST FINANCIAL STATEMENTS FOR THE NEXT TWO YEARS (NOT JUST OBLIGATED GROUP(S))**
- 5. TOTAL FULL TIME EQUIVALENTS (FTE'S) FOR LAST 3 YEARS**
- 6. CAPITAL PLANS, SOURCE AND USES OF FUNDS FOR NEXT FIVE YEARS (INCLUDE DEFINED BENEFIT PENSION PLAN FUNDING, IF APPLICABLE)**
- 7. ALL PAYER CASE MIX INDEX FOR LAST THREE YEARS**
- 8. PAYER MIX: PERCENT OF GROSS REVENUE AND ADMISSIONS FOR PAST THREE YEARS FOR MEDICARE, MEDICAID, BCBS, COMMERCIAL, SELF-PAY/CHARITY AND UPDATE ON CONTRACT NEGOTIATIONS WITH MANAGER CARE INSURERS.**
- 9. ORGANIZATIONAL CHART AND DESCRIPTION OF SUBSIDIARIES**
- 10. LIST OF SPECIALTY SERVICES, AND REVENUE AND EARNINGS CONTRIBUTION**
- 11. PHYSICIANS – TOP TEN ADMITTERS. PERCENT OF TOTAL ADMISSIONS FOR EACH AND AVERAGE AGE**
- 12. STRATEGIC PLAN – AS PRESENTED TO BOARD OR EXECUTIVE SUMMARY**

13. **LIST OF COMPETITORS WITH MARKET SHARE INFORMATION**
14. **OFFERING MEMORANDUM (STATEMENT) OF LAST BOND ISSUE**
15. **SCHEDULE OF ANNUAL DEBT SERVICE REQUIREMENTS**
16. **CURRENT BOND RATINGS**
17. **CURRENT INVESTMENT POLICY AND ASSET ALLOCATION**
18. **AWARDS/RECOGNITION RECEIVED BY HOSPITAL SYSTEM**
19. **REVENUE CYCLE, SUPPLY CHAIN MANAGEMENT INITIATIVES**
20. **STRENGTH / SCOPE OF REFERRING PHYSICIAN NETWORK**
21. **MAJOR, PENDING LITIGATION OUTSIDE ORDINARY COURSE OF BUSINESS**
22. **RISK MANAGEMENT INITIATIVES**